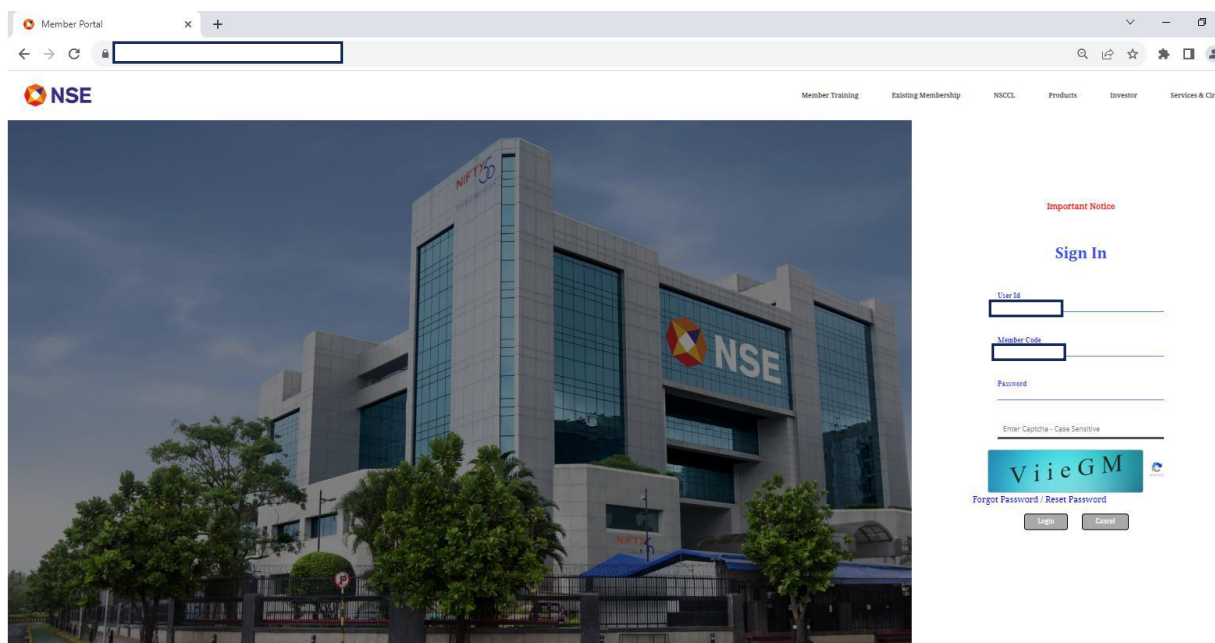
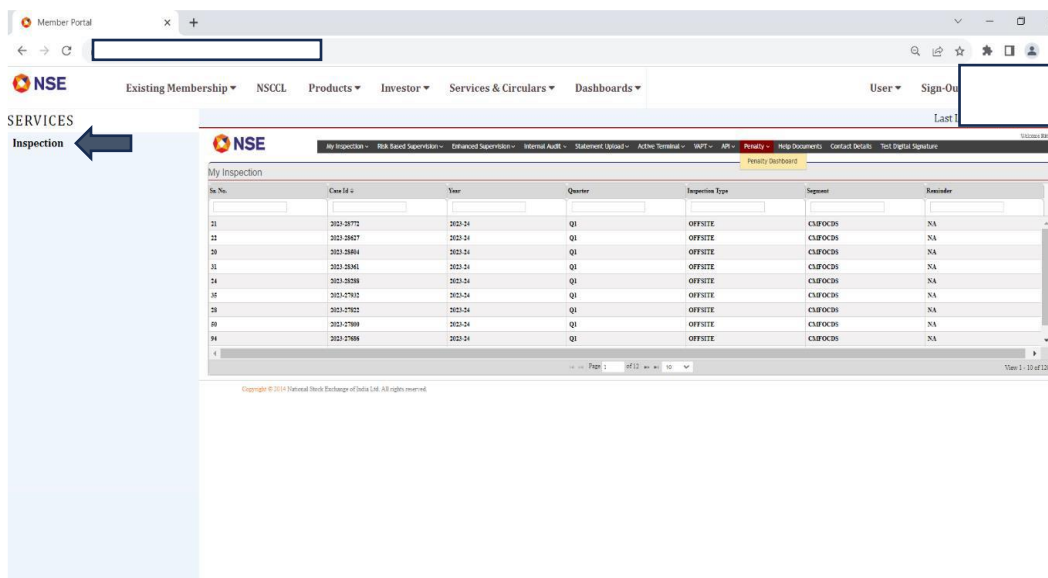


Steps to access the penalty dashboard

1. Open the exchange member portal.
2. Login to existing URL using your user id, trading member code and password.



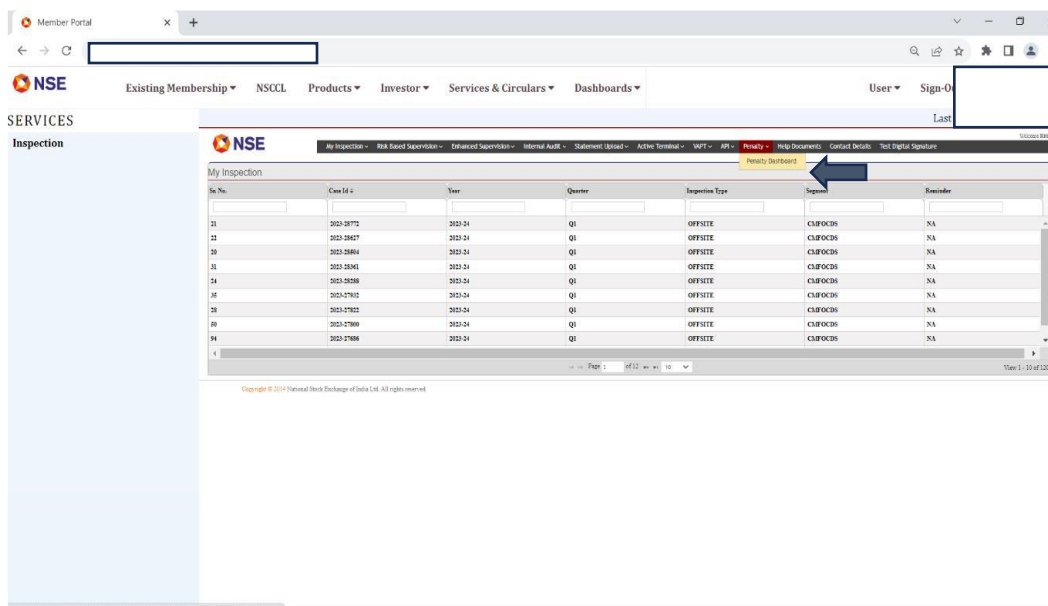
3. Select the 'Inspection' service from the services tab.



The screenshot shows the NSE Member Portal interface. The left sidebar is expanded, and the 'Inspection' service is selected, indicated by a blue arrow. The main content area displays a table titled 'My Inspection' with columns: No. No., Case Id, Year, Quarter, Inspection Type, Segment, and Reminder. The table contains 10 rows of data, all with 'OFFSITE' as the inspection type and 'NA' as the reminder. The top navigation bar includes links for Existing Membership, NSOCL, Products, Investor, Services & Circulars, and Dashboards. The user profile section shows 'User' and 'Sign-Out' options.

No. No.	Case Id	Year	Quarter	Inspection Type	Segment	Reminder
21	2023-28772	2023-24	Q1	OFFSITE	CLIFOCDS	NA
22	2023-28627	2023-24	Q1	OFFSITE	CLIFOCDS	NA
20	2023-28604	2023-24	Q1	OFFSITE	CLIFOCDS	NA
11	2023-28341	2023-24	Q1	OFFSITE	CLIFOCDS	NA
24	2023-28288	2023-24	Q1	OFFSITE	CLIFOCDS	NA
16	2023-27912	2023-24	Q1	OFFSITE	CLIFOCDS	NA
28	2023-27822	2023-24	Q1	OFFSITE	CLIFOCDS	NA
09	2023-27689	2023-24	Q1	OFFSITE	CLIFOCDS	NA
04	2023-27686	2023-24	Q1	OFFSITE	CLIFOCDS	NA

4. Hover the cursor over the 'Penalty' tab from the menu bar. Select 'Penalty Dashboard' under 'Penalty'.



The screenshot shows the NSE Member Portal interface. The top menu bar is expanded, and the 'Penalty' tab is selected, indicated by a blue arrow. The 'Penalty Dashboard' is now the active view, displaying the same 'My Inspection' table as in the previous screenshot. The left sidebar remains expanded, and the 'Inspection' service is still selected.

No. No.	Case Id	Year	Quarter	Inspection Type	Segment	Reminder
21	2023-28772	2023-24	Q1	OFFSITE	CLIFOCDS	NA
22	2023-28627	2023-24	Q1	OFFSITE	CLIFOCDS	NA
20	2023-28604	2023-24	Q1	OFFSITE	CLIFOCDS	NA
11	2023-28341	2023-24	Q1	OFFSITE	CLIFOCDS	NA
24	2023-28288	2023-24	Q1	OFFSITE	CLIFOCDS	NA
16	2023-27912	2023-24	Q1	OFFSITE	CLIFOCDS	NA
28	2023-27822	2023-24	Q1	OFFSITE	CLIFOCDS	NA
09	2023-27689	2023-24	Q1	OFFSITE	CLIFOCDS	NA
04	2023-27686	2023-24	Q1	OFFSITE	CLIFOCDS	NA

- Once the dashboard is open, you may select the details of penalties levied by various departments in a single screen at a click of the button.
- The details of penalties are provided year wise and function wise as you drill down the dashboard.

Penalty Status		
Year	Penalty Levied	
2023-24		469600.00
2022-23		300082.69
2021-22		149908.83
Report to CSV Page 1 of 1		

Latest Penalties						
Date of Penalty	Case Id	Category	Description of Penal Action	Relevant Circular/Prevision	Penalty Amount	
22-SEP-2023	2023-27519	Enforcement Actions	Penalties levied as per MCSGF/C directives	NSE/INSP/ESM dated September 1, 2022	0.00	
22-SEP-2023	2023-28208	Enforcement Actions	Penalties levied as per MCSGF/C directives	NSE/INSP/ESM dated September 1, 2022	19900.00	
22-SEP-2023	2023-29082	Enforcement Actions	Penalties levied as per MCSGF/C directives	NSE/INSP/ESM dated September 1, 2022	6700.00	
Report to CSV Page 1 of 4						

Penalty Action Category		
Year	Penal Action Category	Total Penalty Amount
2023-24	Enforcement Actions	468750.00
2023-24	Inspection Submissions	920.00
2023-24	Investor Complaints	10000.00
2023-24	Membership Compliance Submissions	10000.00
Report to CSV Page 1 of 1		

Enforcement Actions						
Date of Penalty	Case Id	Date of Inspection/Submission From	Date of Inspection/Submission To	Description of Penal Action	Relevant Circular/Prevision	Penalty Amount
22-SEP-2023	2023-29082	12-JUN-2023	12-JUN-2023	Penalties levied as per MCSGF/C directives	NSE/INSP/ESM dated September 1, 2022	6700.00
22-SEP-2023	2023-29199	19-JUN-2023	19-JUN-2023	Penalties levied as per MCSGF/C directives	NSE/INSP/ESM dated September 1, 2022	0.00
22-SEP-2023	2023-27238	31-MAR-2023	31-MAR-2023	Penalties levied as per MCSGF/C directives	NSE/INSP/ESM dated September 1, 2022	0.00
22-SEP-2023	2023-28288	18-MAY-2023	18-MAY-2023	Penalties levied as per MCSGF/C directives	NSE/INSP/ESM dated September 1, 2022	19900.00
22-SEP-2023	2023-28489	10-FEB-2023	10-FEB-2023	Penalties levied as per MCSGF/C directives	NSE/INSP/ESM dated September 1, 2022	7300.00
22-SEP-2023	2023-30689	-	-	Penalties levied as per MCSGF/C directives	NSE/INSP/ESM dated September 1, 2022	0.00
01-SEP-2023	2023-27822	31-MAR-2023	31-MAR-2023	Penalties levied as per MCSGF/C directives	NSE/INSP/ESM dated September 1, 2022	0.00
16-AUG-2023	2023-28361	28-APR-2023	28-APR-2023	Penalties levied as per MCSGF/C directives	NSE/INSP/ESM dated September 1, 2022	0.00
Report to CSV Page 1 of 10						

- Request you to report in case you are not able to view any tab / data.
- For any queries pertaining to the penalty dashboard, please reach out to us at dl-insp-enforcement@nse.co.in mentioning the subject line as 'Penalty Dashboard'.